

City of Little Rock
Department of
Housing and Neighborhood Programs



Consolidated Annual Performance and Evaluation Report
2011 Program Year

**CONSOLIDATED ANNUAL PERFORMANCE
AND EVALUATION REPORT
FISCAL YEAR 2011
(January 1, 2011 through December 31, 2011)**

BOARD OF DIRECTORS

MARK STODOLA, MAYOR

ERMA HENDRIX, WARD 1

DORIS WRIGHT, WARD 6

KEN RICHARDSON, WARD 2

B. J. WYRICK, WARD 7

STACY HURST, WARD 3

DEAN KUMPURIS, POSITION 8

BRAD CAZORT, WARD 4

GENE FORTSON, POSITION 9

LANCE HINES, WARD 5

JOAN ADCOCK, POSITION 10

CITY MANAGER

BRUCE MOORE

DEPARTMENT OF HOUSING & NEIGHBORHOOD PROGRAMS

**ANDRE BERNARD, DIRECTOR
VICTOR TURNER, HOUSING AND GRANTS PROGRAMS MANAGER
BEVERLY ARBOR, COMMUNITY DEVELOPMENT PLANNER**

**2011 CONSOLIDATED ANNUAL PERFORMANCE AND
EVALUATION REPORT**

COVER SHEET

Name of Jurisdiction:

City of Little Rock

**Lead Agency Responsible for Preparing
the 2011 Consolidated Annual
Performance and Evaluation Report**

**Department of Housing and Neighborhood Programs
Community Development & Housing Programs
Division
500 West Markham, Suite 120W
Little Rock, AR 72201**

Name of Contact Person:

Andre Bernard

Telephone Number:

(501) 371-6825

**Period Covered by the 2011 Consolidated
Annual Performance and Evaluation
Report**

**2011 Program Year
January 1, 2011 through
December 31, 2011**

The Jurisdiction:

Name of Authorized Official

Bruce Moore, City Manager

Signature and Date:



March 28, 2012

2011 CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT

**COMMUNITY DEVELOPMENT BLOCK GRANT
HOME INVESTMENT PARTNERSHIP PROGRAM
HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS**

(FOR PERIOD 1/1/11 THROUGH 12/31/11)

TABLE OF CONTENTS

	PAGE
RESOURCES AVAILABLE TO THE CITY OF LITTLE ROCK	1
CDBG FUNDED PROJECTS	2
FINANCIAL SUMMARY - GPR	
HOME-FUNDED PROJECTS	7
HOME MATCH REPORT	
HOPWA FUNDED PROJECTS	9
OTHER ACTIONS TAKEN	25
PUBLIC POLICIES	
INSTITUTIONAL STRUCTURE	
MONITORING	
HOMELESS	
SPECIFIC HOMELESS PREVENTION ELEMENTS	26
ANTIPOVERTY STRATEGY	
INTERGOVERNMENTAL COOPERATION	27
PRIVATE SECTOR COOPERATION	
COMMUNITY HOUSING DEVELOPMENT ORGANIZATION	

PUBLIC HOUSING ACCOMPLISHMENTS	28
ONE FOR ONE REPLACEMENT SUMMARY (CDBG)	31
LEAD-BASE PAINT HAZARD REDUCTION	
AFFIRMATIVELY FURTHERING FAIR HOUSING	
AFFIRMATIVE MARKETING	
MINORITY OUTREACH	32
MBE/WBE PERFORMANCE REPORT	
TENANT ASSISTANCE / RELOCATION	33
SHORTFALL FUNDS	
ASSESSMENT OF ANNUAL PERFORMANCE	
SECTION 3 REPORT	
PERFORMANCE MEASUREMENT ACCOMPLISHMENTS	34
PERFORMANCE MEASUREMENT GOALS	
TABLE 3A	
TABLE 3B	

APPENDICES

DESCRIPTION OF REVIEW PROCESS

SUMMARY OF CITIZEN COMMENTS

MAP

**RESOURCES AVAILABLE TO THE CITY OF LITTLE ROCK
2011 Program Year**

The City of Little Rock had the following financial resources available for eligible projects and activities during the 2011 Program Year, which began January 1, 2011 and ended December 31, 2011.

HUD

Entitlements:

CDBG	\$ 1,505,568
Reallocated CDBG Funds	\$ 477,582
HOME Investment Partnership Program	\$ 941,522
Housing Opportunities for Persons With AIDS (HOPWA)	\$ 319,590
Subtotal	\$ 3,244,262

Program Income:

CDBG	\$ 2,385
HOME	\$ 12,567
Subtotal	\$ 14,952

Other:

HOME Match	\$ 151,342
Neighborhood Housing Services Funds	\$ 161,711
Subtotal	\$ 313,053

TOTAL AVAILABLE RESOURCES	<u>\$ 3,572,267</u>
----------------------------------	----------------------------

*This funding is provided directly to specific projects in concert with city funds.

COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

Emergency Assistance Grants

The City's Owner-Occupied Rehabilitation Program provided assistance to fifteen (15) very low-income homeowners this program year in the form of an emergency assistance grant, expending \$78,892 in CDBG funds for sewers and roofs.

World Changers

The City of Little Rock partnered with World Changers, Inc., a faith-based organization, to replace roofs and paint the exterior of houses for very-low income, elderly, Little Rock residents. The City provided CDBG funds for the materials, while World Changers, Inc. provided the labor. Sixteen (16) homes were completed in the 2011 Program Year.

CDBG Save-A-Homes

The City purchases suitable substandard property throughout the City for repair and resale to low-income persons and families. A code deficiency list is obtained to ensure all necessary repairs are made prior to re-sale. The homes are affirmatively marketed and gap financing is used to bridge the gap between the mortgage a low-income purchaser can qualify for and the sales price of the house. No Save-A-Home projects were committed during the 2011 Program Year.

Elderly Housing Program

The City of Little Rock committed \$350,000 in CDBG funds for fourteen (14) homes under the Elderly Housing Program in the 2011 Program Year. Thirteen (13) homes were completed under this program utilizing \$284,121 in CDBG funds. The program provides up to \$25,000 financial assistance in the form of a forgivable loan to elderly (62 or older) or disabled, very-low income persons in order to repair their homes to allow continued occupancy for a period of five to ten years.

Leveraged Loans

The City of Little Rock committed \$50,000 in CDBG funds for two (2) homes under the City's Leveraged Loan Program. Two (2) homes were completed during the reporting period at a total cost of \$57,732. Of the total cost \$29,859 was from a public investment of CDBG funds and \$27,873 was from private investments. This program was constructed to provide owner-occupied rehabilitation assistance to low-income citizens on a city-wide basis. Financial assistance is provided in the form of a forgivable loan of 50% of the total cost of rehabilitation, while the owner is required to provide the remaining 50%, normally through a bank loan. Due to limited financial resources, the City designed the Leveraged Loan Program to encourage private investment in owner-occupant housing.

Wheelchair Ramps

The City of Little Rock partnered with Mainstream Living, who coordinates the construction of wheelchair ramps at private residences of handicapped individuals. The City provides the funding for materials and fees for the ramps which are constructed by the Southwestern Bell Telephone Pioneers volunteers. Seventeen (17) wheelchair ramps were **committed** and **completed** during the 2011 Program Year.

NWH Community Complex

The Nathaniel W. Hill Complex provided public services to citizens with a facility in East Little Rock. Various programs were offered there, including a health clinic, senior services and recreational activities. Assistance was provided to approximately 3,874 persons in the period from January 1, 2011 through December 31, 2011, utilizing \$174,619 in CDBG funds through the Community Development and Housing Division. CDBG funds used for complex operations were leveraged with other public/private funds and in-kind services.

Demolition of Substandard Structures

Little Rock's Demolition Project (funded by \$63,250 in CDBG funds in previous years) is still in progress. Emphasis is given to demolition for subsequent housing redevelopment.

Residential Board and Secure

Little Rock's Residential Board and Secure Program (funded by \$10,000 in CDBG funds in previous program years) is still in progress. This program was implemented to board and secure vacant, unsafe residential units in CDBG eligible neighborhoods.

Housing Counseling Classes

The City committed \$100,000 in CDBG funds in the previous Program Year for housing counseling, which can also include foreclosure prevention counseling for existing low/moderate income homeowners. IN-Affordable Housing was awarded \$25,000 to conduct classes for low-income Little Rock residents. They have provided housing counseling to 36 residents and foreclosure prevention counseling to 31 residents in 2011.

Meals for Elderly

Meals for Elderly (funded by \$41,750 in CDBG funds **committed**) is still in progress. Central Arkansas Area Agency on Aging (dba Carelink) provided meals to 77 elderly, low-income Little Rock residents, utilizing CDBG funds.

Day Resource Center for Homeless

The City of Little Rock **committed** \$220,000 for a facility and \$177,582 for homeless activities. The City has located and acquired a permanent site for the Day Resource Center. Construction has started and completion is expected in 2012. Currently, services are still being provided at River City Ministries in North Little Rock under a continued agreement with the City of North Little Rock. The City has hired a Homeless Services Coordinator which accomplished one of the goals from the Ten Year Plan to End Homelessness.

West Central Little Rock Activity Center

West Central Little Rock Activity Center is an ongoing project which \$20,875 was **committed** this program year. This project provides activities for elderly residents citywide.

Downtown Little Rock CDC

The City of Little Rock **committed** \$15,000 in a prior program year for the Downtown Little Rock CDC for surveys and plan development for a housing development in Downtown Little Rock. The City has a contract with the CDC for the development of houses for sale to low- and moderate-income homebuyers. The CDC completed this project in 2011.

Historic District Survey and Assessment

The City of Little Rock **committed** \$25,000 in previous program years for an historic district survey and assessment to be completed for the Dunbar Community in order that it might be considered for historic district designation. This area is predominately low- and moderate income. An RFQ was issued and Cultural Resource Analysts, Inc. was selected to complete the survey and assessment. The survey was completed in 2011 and the plan was submitted to Arkansas Department of Heritage for further consideration as a designated historic district.

Central High Model Block

The City of Little Rock **committed** \$100,000 in a previous program year for the Central High Model Block. These funds are to be used for the provision of infrastructure and other needs in support of the targeted neighborhood housing development.

Salvation Army

The City of Little Rock **committed** \$17,012 to the Salvation Army in the previous program year to renovate three (3) rooms at the shelter for use as family rooms for homeless families with children. The funds will also be used for furnishings for these rooms. This project is 80% complete.

Landbank

The City of Little Rock **committed** \$200,000 during the previous program year to the Landbank for acquisition, demolition/clearing, clearing title, or other activities necessary to facilitate the redevelopment of the properties. Funds totaling \$47,902 were expended on these different activities this program year. The Landbank now has an inventory of fifty-eight (58) properties, of which CDBG funds were used on fifty-two (52).

Our House

The City of Little Rock **committed** \$34,000 the previous year and additional \$17,034 this program year for the rehabilitation of the Our House Education Building. The facility serves the homeless community as well as the low-income community. It houses four (4) programs including a child development center, a learning center, an after school program, and a summer program. The first phase was completed in 2011.

In addition, the City also **committed** funds in the amount of \$15,900 the previous year for Our House, Inc exterior lighting and parking lot improvements. Our House Inc. operates a four-acre campus servicing approximately 900 homeless men, women, and children every year, as well as low-income community members. They also have several hundred volunteers going in and out of the campus. The exterior lighting and parking lot improvements were completed in 2011.

This program year CDBG funds in the amount of \$23,798 were **committed** to Our House, Inc. for counseling, goal planning, parenting classes, workforce training and job assistance, and free day care. City staff is in the process of developing an agreement with Our House.

Martin L. King Legacy Center

The City of Little Rock **committed** \$25,000 in CDBG funds in previous year and additional \$12,575 this program year to the MLK Heritage Center Facility for improvements. The new name for the organization is the Martin L. King Legacy Center. This facility provides a variety of public service activities to low-income residents. Improvements are in the process of being made to the facility.

Section 108 Loans

During the 2011 Program Year, the City paid in full the balance on its Section 108 loan.

Union Park Pavilion

The City of Little Rock **committed** \$25,000 in CDBG funds to Union Park Pavilion for rehabilitation. This facility will be used as a historical research center for the community as well as a community meeting place. City anticipates project beginning in 2012.

Southwest Little Rock Public Health Facility

The City of Little Rock **committed** \$200,000 for construction of a new public health facility. This project will be in partnership with the Arkansas Department of Health for the provision of health services to low-income clients. City anticipates project beginning in 2012.

Ottenheimer Community and Therapeutic Center

The City of Little Rock **committed** \$250,000 for construction of a community center. This project will serve residents of a low to moderate income area. The construction will be completed in 2012.

Drainage Projects-West Maryland and South Valentine

The City of Little Rock **committed** \$8,350 for the reconstruction and to complete the drainage system on West Maryland and South Valentine. This project is in the design phase with City of Little Rock Public Work Department.

Sidewalk Projects-Wolfe & Harrison Streets

The City of Little Rock **committed** \$107,297 for the construction of sidewalks on Wolfe and Harrison Streets. This project is in the design phase with City of Little Rock Public Work Department.

Women and Children First

The City of Little Rock **committed** \$12,525 for services and resources to help increase the ability to shelter victims of domestic violence and their children. City staff is working with the Women and Children in developing the agreement.



PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	0.00
02 ENTITLEMENT GRANT	1,505,568.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	2,385.00
06 RETURNS	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	1,507,953.00

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	1,562,852.49
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	1,562,852.49
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	286,714.91
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	194,488.50
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	2,044,055.90
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	(536,102.90)

PART III: LOWMOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	1,408,272.27
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	1,408,272.27
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	90.11%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	261,296.29
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	261,296.29
32 ENTITLEMENT GRANT	1,505,568.00
33 PRIOR YEAR PROGRAM INCOME	0.00
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	1,505,568.00
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	17.36%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	286,714.91
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	286,714.91
42 ENTITLEMENT GRANT	1,505,568.00
43 CURRENT YEAR PROGRAM INCOME	2,385.00
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	1,507,953.00
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	19.01%

HOME INVESTMENT PARTNERSHIP PROGRAM

Leveraged Loans

Little Rock's Leveraged Loan Program was constructed to provide owner-occupied rehabilitation assistance to low-income citizens on a citywide basis. Financial assistance is provided in the form of a forgivable loan of 50% of the total cost of rehabilitation, while the owner is required to provide the remaining 50%, normally through a bank loan. The City **committed** \$107,305 in HOME funds for five (5) leveraged loan project. Private investment of \$107,305 has been committed to this project. Due to limited financial resources, the City designed the Leveraged Loan Program to encourage private investment in owner-occupant housing.

The City of Little Rock **completed** two (2) leveraged loans during the reporting period at a total cost of \$63,069. Of the total cost \$33,476 was from a public investment of HOME funds and \$29,593 was from private investments.

HOME Save-A-Homes

The City's Save-A-Home Program encompasses purchasing and rehabilitating substandard housing for re-sale to low-income persons and families. No Save-A-Home projects were committed during the 2011 Program Year.

Elderly Housing Program

The City of Little Rock completed sixteen (16) homes under the Elderly Housing Program, utilizing \$346,421 in HOME funds in the 2011 Program Year. This program provides up to \$25,000 financial assistance in the form of a forgivable loan to elderly (62 or older) or disabled, very-low income persons in order to repair their homes to allow continued occupancy for a period of 5 to 10 years.

Community Development Corporation/CHDO Activity

College Station CDC - The College Station CDC Project (funded by \$200,000 in HOME funds **committed**) for construction of six (6) affordable housing units for subsequent sale to low-income, first-time homebuyers is underway. No units were completed this program year. This makes two (2) units completed under the project leaving a balance of four (4) units.

Downtown Little Rock CDC – The Downtown Little Rock CDC project (funded by \$200,000 in HOME funds **committed**) for construction of seven (7) units of affordable single-family housing for subsequent sale to low-income, first-time homebuyers is currently underway. One (1) unit was completed and sold this program year, making a total of five (5) complete units. The CDC has begun construction on the two (2) remaining units under this project.

Black Community Developers - The BCD Midtown Phase IV project (funded by \$300,000 in HOME funds **committed**) consists of ten (10) single-family housing units for subsequent sale to low-income, first-time homebuyers. No units were completed this program year. This makes a total of seven (7) completed and sold units for this project, leaving three (3) units.

The City of Little Rock committed \$114,126 in HOME funds to Black Community Developers for three (3) additional units, BCD Midtown Phase V, in the prior program year.

University District Development Corporation – The UDDC Oak Forest Phase I Project (funded by \$200,000 in HOME funds **committed**) consists of six (6) affordable single-family housing units to be sold to eligible, low-income, first-time homebuyers. During the previous program year one (1) housing unit was completed, however, it has not yet been sold.

Other entities funded with HOME funds:

Habitat for Humanity – The City of Little Rock **committed** \$119,992 in HOME funds to Habitat for Humanity for eight (8) affordable housing units the previous program year. The units are to be located in the Central High Target Area of the City. The funds will be used for first-time homebuyer subsidies for eligible homebuyers.

D&A Doyne Family Limited Partnership – The Frazier Garden Homes project (funded by \$100,000 in HOME funds) is completed. The project consists of the construction of five (5) affordable single-family housing units. HOME funds were used as first-time homebuyer assistance to qualified low-income, first-time homebuyers for the purchase of the units. Two (2) of the units were completed in the 2008 Program Year. Two (2) additional units were completed in the 2009 program year. The remaining unit was sold this program year.

Vann & Associates, L.L.C. – The Central High Target Area Mixed Development project (funded by \$60,000 in HOME funds **committed**) consists of three (3) affordable single-family housing units. HOME funds will be used as first-time homebuyer assistance to qualified low-income, first-time homebuyers for the purchase of these units. The City is working with the organization to implement this project in 2012.

Urban Frontier, L.L.C. – The City of Little Rock **committed** \$200,000 in HOME funds to Urban Frontier, L.L.C. for the Urban Frontier Rehabilitation Project. This project consists of the rehabilitation of two (2) duplexes and one (1) fourplex, totaling eight (8) units of affordable housing for rent to low and very-low income tenants. The rehabilitation of one (1) of the duplexes was completed this program year but the units were not rented. Rehabilitation work is being done on the one (1) remaining structure.

**Housing Opportunities for Persons with AIDS (HOPWA)
Consolidated Annual Performance and
Evaluation Report (CAPER)
Measuring Performance Outcomes**

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Part 1: Grantee Executive Summary

1. Grantee Information

HUD Grant Number ARH11-F001		Operating Year for this report <i>From</i> 02/1/2011 <i>To</i> 12/31/2011		
Grantee Name City of Little Rock				
Business Address		500 W. Markham, Suite 120W		
City, County, State, Zip		Little Rock	Pulaski	AR 72202
Employer Identification Number (EIN) or Tax Identification Number (TIN)		71-6014465		
DUN & Bradstreet Number (DUNS):		82-835-7660	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: clr19008gt	
*Congressional District of Grantee's Business Address		2nd		
*Congressional District of Primary Service Area(s)		2nd		
*City(ies) and County(ies) of Primary Service Area(s)		Cities: Little Rock	Counties: Pulaski Saline Grant Faulkner, Perry, Lonoke	
Organization's Website Address www.littlerock.org		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Project Sponsor Agency Name Arkansas AIDS Foundation, Inc.		Parent Company Name, if applicable N/A	
Name and Title of Contact at Project Sponsor Agency		Michael D. Cannon, Executive Director	
Email Address		admin@araidsfoundation.org	
Business Address		P.O. Box 1208	
City, County, State, Zip,		Little Rock, Pulaski, AR, 72203	
Phone Number (with area code)		(501) 376-6299	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		71-0739137	Fax Number (with area code) (501) 376-6544
DUN & Bradstreet Number (DUNs):		83-045-2173	
Congressional District of Project Sponsor's Business Address		2 nd	
Congressional District(s) of Primary Service Area(s)		2 nd	
City(ies) and County(ies) of Primary Service Area(s)		Cities: Little Rock	
Total HOPWA contract amount for this Organization for the operating year		\$234,022.00	Counties: Pulaski Saline Grant Faulkner, Perry, Lonoke
Organization's Website Address www.araidsfoundation.org		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input checked="" type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

A. Grantee and Community Overview

The City of Little Rock will utilize HOPWA funds to administer programs that include Supportive Services, Short-Term Rental, Mortgage, Utility Assistance (STRMU), Tenant-Based Rental Assistance (TBRA), Housing Information and Resource identification to assist People Leaving With AIDS (PLWA). The service area is the following counties: Faulkner, Grant, Lonoke, Perry, Pulaski, and Saline. The project sponsor for this program year is Arkansas AIDS Foundation (AAF).

B. Annual Performance under the Action Plan

Allocation of Funds

The 2011 HOPWA entitlement grant was allocated between administration and projects. Ten percent (10%) of entitlement grant was budgeted for administration. Three percent (3%) will be made available to the City as the HOPWA Grantee and the remaining seven percent (7%) to the HOPWA Project Sponsor. Ninety percent (90%) of the entitlement grant was budgeted for projects.

During the reporting period, AAF has utilized its HOPWA fund to provide STRMU as well as assistance with transportation needs. The primary desired outcome of the program is to increase the amount of housing assistance and related supportive services to eligible persons, in order to reduce or eliminate client risk of homelessness, assist clients in establishing and/or maintaining safe, affordable, stable permanent housing, increase client self-sufficiency as evidenced by an increase in income or reduced need for housing or other subsidies, and/or support and improve client access to healthcare and other supportive services.

Program Priorities

AAF has endeavored to enhance suitable, sustainable living environments by providing STRMU assistance.

Objective: Suitable Living Environment – Client Services Coordinator has conducted home visits to evaluate the safety and well being of clients as well as working with clients to create comprehensive housing care plans.

Objective: Decent Housing – Client Services Coordinator performs HQS inspections to check for sub-standard living conditions and to ensure a minimal housing quality standard is in place.

Objective: Economic Opportunity – Client Services Coordinator has collaborated with clients to assist them in employment seeking activities. In addition budget counseling has been provided to help meet client financial obligations

Upon entering the HOPWA program, each client is asked to complete an Individualized Housing Plan stating intended goals and ways to achieve these goals, noting any barriers that may prevent them from meeting their goals and ways in which to overcome these barriers.

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input type="checkbox"/> Rental History	<input type="checkbox"/> Criminal Justice History
<input type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

C. Barriers and Trends Overview

A barrier to the management of HOPWA program is the amount that can be allocated for administration. The three percent (3%) allowable administrative cost for HOPWA grantees is not sufficient to support the administrative needs of the project.

D. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance: <ul style="list-style-type: none"> a. Tenant-Based Rental Assistance (TBRA) b. Short-Term Rent, Mortgage and Utility payments (STRMU) <ul style="list-style-type: none"> •Assistance with rental costs •Assistance with mortgage payments •Assistance with utility costs. c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities 	

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

The City of Little Rock did not obtain any funds to leverage with the HOPWA program this program year.

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families. *Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.*

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	29	34			\$172,800.00	\$103,740.44
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)						
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served) (Households Served)						
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	20	38			\$29,700.00	\$17,305.32
5.	Permanent Housing Placement Services						
6.	Adjustments for duplication (subtract)						
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	49	72			\$202,500.00	\$121,045.76
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3 or 10 year use agreements						
10.	Total Housing Developed (Sum of Rows 78 & 9)						
Supportive Services		[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	49	72			\$57,000.00	\$57,000.00
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.						
12.	Adjustment for duplication (subtract)						
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	49	72			\$57,000.00	\$57,000.00
Housing Information Services		[1] Output Households				[2] Output: Funding	
14.	Housing Information Services						
15.	Total Housing Information Services						

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources						
17.	Technical Assistance (if approved in grant agreement)						
18.	Grantee Administration (maximum 3% of total HOPWA grant)						
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$21,662.00	\$17,173.50
20.	Total Grant Administration and Other Activities (Sum of Rows 17 – 20)						
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					\$281,162.00	\$195,219.26

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	72	\$57,000.00
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	72	
16.	Adjustment for Duplication (subtract)		
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	72	\$57,000.00

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	38	\$17,305.32
b.	<u>Of the total STRMU reported on Row a.</u> total who received assistance with mortgage costs ONLY.		
c.	<u>Of the total STRMU reported on Row a.</u> total who received assistance with mortgage and utility costs.	1	\$684.11
d.	<u>Of the total STRMU reported on Row a.</u> total who received assistance with rental costs ONLY.	23	\$12,552.87
e.	<u>Of the total STRMU reported on Row a.</u> total who received assistance with rental and utility costs.		
f.	<u>Of the total STRMU reported on Row a.</u> total who received assistance with utility costs ONLY.	14	\$4,068.34
g.	Direct program delivery costs (e.g., program operations staff time)		

End of PART 3

Part 4: Summary of Performance Outcomes

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities) A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	34	25	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	1	
			5 Other Subsidy	1	
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/Unknown	7	
			9 Death		<i>Life Event</i>
Permanent Supportive Housing Facilities/ Units			1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units			1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/unknown		
			9 Death		<i>Life Event</i>
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months					

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Note: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
38	Maintain Private Housing <u>without</u> subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>		<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>		
	Other HOPWA Housing Subsidy Assistance		
	Other Housing Subsidy (PH)		
	Institution <i>(e.g. residential and long-term care)</i>		
	Likely that additional STRMU is needed to maintain current housing arrangements	19	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>		
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>		
	Emergency Shelter/street		<i>Unstable Arrangements</i>
	Jail/Prison		
Disconnected	19		
Death		<i>Life Event</i>	
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			11
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			12

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	72
b. Case Management	72
c. Adjustment for duplication (subtraction)	
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	72
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year. Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	72		Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	72		Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	72		Access to Health Care
4. Accessed and maintained medical insurance/assistance	72		Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	63		Sources of Income

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
--	--	--

Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
---	--	--

1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	0	

End of PART 4

Part 7: Summary Overview of Grant Activities

A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	72

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	44
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	
4.	Transitional housing for homeless persons	2
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	2
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	
7.	Psychiatric hospital or other psychiatric facility	
8.	Substance abuse treatment facility or detox center	
9.	Hospital (non-psychiatric facility)	
10.	Foster care home or foster care group home	
11.	Jail, prison or juvenile detention facility	
12.	Rented room, apartment, or house	25
13.	House you own	1
14.	Staying or living in someone else's (family and friends) room, apartment, or house	
15.	Hotel or motel paid for without emergency shelter voucher	
16.	Other	
17.	Don't Know or Refused	
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	72

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	0	2

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (as reported in Part 7A, Section 1, Chart a.), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	72
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	6
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	13
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	91

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18					
2.	18 to 30 years	6	2			8
3.	31 to 50 years	39	16	1		56
4.	51 years and Older	7	1			8
5.	Subtotal (Sum of Rows 1-4)	52	19	1		72
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	7	6			13
7.	18 to 30 years	2				2
8.	31 to 50 years	4				4
9.	51 years and Older					
10.	Subtotal (Sum of Rows 6-9)	13	6			19
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	65	25	1		91

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native				
2.	Asian				
3.	Black/African American	48		13	
4.	Native Hawaiian/Other Pacific Islander				
5.	White	23		3	
6.	American Indian/Alaskan Native & White				
7.	Asian & White				
8.	Black/African American & White				
9.	American Indian/Alaskan Native & Black/African American				
10.	Other Multi-Racial	1	1	3	3
11.	Column Totals (Sum of Rows 1-10)	72		19	

Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	54
2.	31-50% of area median income (very low)	16
3.	51-80% of area median income (low)	2
4.	Total (Sum of Rows 1-3)	72

OTHER ACTIONS TAKEN

PUBLIC POLICIES

The City's Rental Inspection Program continued to improve housing conditions for many low and very low-income persons in Little Rock. During the 2011 Program Year, 656 housing units were inspected and 3,991 housing units were re-inspected. Of the inspected housing units, 74 were in compliance with all codes. Of the non-complying housing units, owners repaired 255, while 316 were issued citations regarding the rental units.

INSTITUTIONAL STRUCTURE

The City continuously works to increase the cooperation among departments and other entities to improve and streamline the delivery of housing services to Little Rock citizens. Several City departments and the Little Rock Public Housing Authority work together to coordinate various housing activities and programs to serve the Little Rock community.

MONITORING

The City of Little Rock follows an adopted Monitoring Plan to effect comprehensive monitoring of programs and activities described in the Consolidated Plan. The monitoring plan is directed to ensure:

- Program performance
- Financial performance
- Regulatory compliance

A record of the annual monitoring of each activity is available for review and includes the results of each, including any findings, corrective actions, and improvements.

HOMELESS

- The City of Little Rock committed \$220,000 for a facility and \$177,582 for homeless activities. The City has located and acquired a permanent site for the Day Resource Center. Construction has started and completion is expected in 2012. Currently, services are still being provided at River City Ministries in North Little Rock under a continued agreement with the City of North Little Rock. The City has hired a Homeless Services Coordinator which accomplished one of the goals from the Ten Year Plan
- In the 2011 program year, the City committed a total of \$40,832 in CDBG funds to Our House, Inc., \$17,034 for rehabilitation of the Education Building which serves the homeless and low income community. It houses four (4) programs which include a child development center, a learning center, an after school program, and also a summer program. The remaining \$23,798 was committed for counseling, goal planning, parenting classes, workforce training and job assistance and free day care. Our House serves

approximately 900 homeless men, women, and children every year as well as having hundreds of volunteers going in and out of the complex.

- The City committed \$17,012 in CDBG funds in the previous program year to the Salvation Army to renovate three (3) rooms at the shelter for use as family rooms for homeless families with children. City staff is in the process of developing an agreement.

SPECIFIC HOMELESS PREVENTION ELEMENTS

- The City of Little Rock served as the lead agency again in the Continuum Super NOFA process working to assist member agencies located in the Central Arkansas Continuum service area in securing funds through various Homeless Assistance Grants. Approximately seventeen (17) programs received grants totaling \$3,365,881.
- The local Continuum working with the Homeless Coordinator and other Community Development staff coordinated the 2011 Point In Time Count conducted on the night of January 25, 2011 for inclusion into the National Point In Time Count Data for all Continuums of Care. A total of 1,276 men, women, and children were determined to be homeless. The following are the percentages by categories:
 - 38%--Unsheltered and living on the streets
 - 32%--Sheltered but living in local emergency shelters
 - 30%--Transitionally housed

ANTI-POVERTY STRATEGY

- The City of Little Rock works with for-profit and non-profit developers to ensure that Little Rock Housing Authority Section 8 clients have access to affordable rental and homeownership opportunities made available through activities and projects funded through the City's CDBG and HOME Programs.
- The City of Little Rock continues to support organizations that provide credit and housing counseling to low- and moderate-income individuals and families to better prepare them for homeownership, the primary wealth builder for persons in this income group.
- The City of Little Rock promotes workforce development programs offered through New Futures for Youth and the University of Arkansas at Little Rock.
- The City of Little Rock includes Section 3 requirements in all agreements and contracts to ensure first consideration is given to low- and moderate-income persons when job positions are created or become available.

INTERGOVERNMENTAL COOPERATION

The City worked with the Arkansas Institute of Government at the University of Arkansas at Little Rock to develop a Ten-Year Plan to end chronic homelessness. The plan was completed in the 2006 Program Year. The City also continues to partner with the Institute of Government to further operate the Neighborhood Resource Center. City staff maintains active participation in the Arkansas Community Development Association and Central Arkansas Team Care for the Homeless (CATCH).

PRIVATE SECTOR COOPERATION

The City is currently working with for-profit developers to provide affordable housing projects within the community. Housing developed by the for-profit sector will complement work being done by the City and area CDCs. Also local financial institutions continue to participate in community development activities and neighborhood revitalization efforts. The investment that the City is making in the inner city is stimulating economic growth and private investment. The efforts being made by both local government and private entities must continue for sustained development of the City's neighborhoods.

COMMUNITY HOUSING DEVELOPMENT ORGANIZATIONS

- Black Community Developers, Inc
- College Station CDC
- Downtown Little Rock CDC
- Little Rock Neighborhood Improvement and Preservation Program, Inc. (LRNIPP)
- University District Development Corporation

Black Community Developers, Inc., College Station CDC, Downtown Little Rock CDC, and the University District Development Corporation currently have housing projects underway.

PUBLIC HOUSING ACCOMPLISHMENTS



Little Rock Housing Authority officially changed its business name. The new name, Metropolitan Housing Alliance, is indicative of the forward direction of the multi-faceted housing agency. It also recognizes that our agencies role includes bringing others to the table to address complex, complicated community issues.

The 2010 fiscal year-end audit demonstrated that, MHA is financially sound. The audit was designated unqualified. Also known as a complete audit. It is an audit that has been performed and researched so thoroughly that the only possible remaining discrepancies stem from information that could not be obtained by the auditor. Unqualified audits are performed according to accepted accounting principals, with an emphasis on detail and accuracy.

LRHA has sold all of the three remaining 17 homes in the New Horizon subdivision.

Began implementing the smoke free housing initiative at Powell Towers Cumberland Towers on 01/01/11.

ARRA Stimulus Funds

NSP 2

Implemented the Consortium Agreement activities. Expended funds in accordance with federal requirements.

HUD Recovery Grant (Formula) Update:

100% of the formula resources were expended. A list of various projects by site is provided:

Parris Towers:

1. Fan Coil Units for each apartment were replaced.
2. Renovation of exterior of the building and community room completed.
3. ADA restroom in the lobby was remodeled.

Cumberland Towers:

- Roof Replaced
- Flooring Replaced
- Perimeter Fence Installed
- New Signage

Sunset Terrace:

- Utility Building Constructed
- Completed the construction of apartment units in the Stephens / Love Neighborhood: 8 new rental units across from Stephens Elementary School
- Completed new construction of apartment units in the Central High Neighborhood: 5 units (rental)

HUD Competitive Grant Funding Applications Submitted by LRHA:

Granite Mountain Senior Homes is a “Green” new construction of 40 units funded partially under Category 4 of the Capital Fund Recovery Competition. Permanent Sources of Funding include: (1) Low-Income Housing Tax Credit Equity (\$4,426,223); (2) Permanent loan from Little Rock Housing Authority (LRHA) funded with proceeds of a Capital Fund Recovery Competition (CFRC) Grant (\$2,251,731). LRHA will fund the construction of the project through: (1) A construction loan through Bank of the Ozarks (\$590,000); Construction loan from LRHA funded with proceeds of CFRC Grant (\$2,171,731); Construction loan from LRHA with non-HUD funds (\$1,410,000); and (3) LIHTC Equity (\$1,925,549).

Sources of Funding	Construction	Permanent
Bank of the Ozarks Construction Loan	590,000	
LRHA Construction Loan/Non-Federal	1,410,000	
CFRC Construction/ Permanent Loan	2,171,731	2,251,731
LIHTC Equity	1,925,549	4,426,223
Total Sources	\$6,097,280	\$6,677,954

The names of the major partners:

- Granite Mountain Senior Homes, L.P., the owner entity
- Little Rock Housing Authority – Managing General Partner (0.05%)
- Central Arkansas Housing Corporation – Co-General Partner (0.05%)
- Hunt Capital Partners – Investor Limited Partner (99.9%)

The building type(s) being constructed:

- All units will be duplexes, and thus are Semi-Detached.
- *Any non-residential or mixed uses:* The development will include a community center/office building.
- *Any elderly designated units in the phase:* There will be 40 elderly designated units (62+) on the site.

Any unusual features of the phase: LRHA submitted a public housing development proposal to HUD for approval of the development of the first twenty (20) units in this project, in order to meet the CFRC grant funding obligation deadline. With the subsequent award of 9% tax credits, the entire development of 40 units is being submitted to HUD for approval as a mixed-finance transaction.

Completed the Energy Performance Contracting (EPC) at Powell Towers - 168 total units (99 studios, 63 1BR units, and 6 2BR units). The measures Little Rock Housing Authority (LRHA) implemented for this project include:

- Installing efficient apartment lighting, common area lighting, and exterior site lighting
- Replacing all refrigerators with new Energy Star models
- Adding variable speed drives to the hydronic system distribution motor and cooling tower motor
- Installing a photovoltaic (PV) system
- Installing limiting thermostats in apartments
- Installing high efficiency tank type domestic hot water (DHW) heaters
- Installing new double-pane windows in all units
- Installation of low flow plumbing fixtures
- Upgrading clothes washers to high efficiency models
- Replacing all original fan coils in units
- Renovating a sample of apartments with green materials
- Painting interior surfaces with low VOC paint
- Replacing hallway carpet flooring with polished concrete flooring

1. The Little Rock Housing Authority HCV program was designated as a standard performer agency.
2. The score for conventional housing program was also High Performer. Metropolitan Village received a score of 99 out of 100 points during the REAC inspection.
3. LRHA received a HUD ROSS (Resident Opportunity Self-Sufficiency) grant for Sunset Terrace. The grant will cover the expenses of a coordinators salary, travel and administrative expenses for two years.
4. The waitlist for the HCV program remains at over 1,200 families.

ONE FOR ONE REPLACEMENT SUMMARY

During the 2011 Program Year, the City did not displace persons as a result of projects and activities carried out with CDBG or HOME funds. The avoidance of displacement is consistent with the City's certification to minimize necessity for displacement.

LEAD-BASED PAINT HAZARD REDUCTION

The City of Little Rock policy requires that lead-based paint testing be conducted on all rehabilitation projects accomplished by the City of Little Rock or by any entity funded by the City. Interim controls will be performed where appropriate. Full lead-based paint abatement will be completed on rehabilitations contracted at above \$25,000. Safeguards were in place to ensure that all rehabilitation accomplished was free of lead-based paint hazards. Pertinent CDBG and Housing staff have attended Lead-Based Paint Inspector training, Risk Assessor Training, Safe Work Practices training, and also Lead-Based Paint Supervisory training. The City sponsors training for abatement workers of lead-based paint abatement companies, and provides training to its contractors on safe work practices and Lead-Based Paint Supervisory training.

AFFIRMATIVELY FURTHERING FAIR HOUSING

In compliance with the City's Analysis of Impediments to Fair Housing, the City of Little Rock is continually making progress in maintaining programs that further fair housing.

The City's standard forms of assistance in furthering fair housing include non-discriminatory administration of CDBG and HOME Programs. Affirmatively furthering fair housing is accomplished by the design and implementation of housing programs intended to promote fair housing choices for low-income citizens. In addition, all printed materials used by the CDBG and Housing Programs Division, display the fair housing logo to promote fair housing and assure persons of the City's commitment to fair housing.

All housing constructed, acquired, or rehabilitated through the City's housing program is marketed fairly and in compliance with all fair housing requirements. Agreements with other for-profit and non-profit entities require adherence to fair housing regulations and laws to assure that CDBG and HOME funds are used to promote fair housing for all citizens of Little Rock.

AFFIRMATIVE MARKETING

All housing developed by the City is affirmatively marketed, thereby increasing access to decent, affordable housing for many low-income persons. All affordable housing developed by other entities using CDBG or HOME funding is required to be affirmatively marketed. All agreements executed by the City with affordable housing developers (CDCs, CHDOs, non-profit, and for-profit developers) contain fair housing requirements, including development of a fair housing marketing plan. All agreements are monitored to ensure that the fair housing components are satisfied.

The City has met every requirement of 24 CFR 92.351 through its efforts to promote racial, economic, and ethnic diversity in assisted neighborhoods. The results can be seen by the data on assisted households and persons, which indicate that of the 66 units of affordable housing developed or sustained in 2011, 59 units were owned or purchased by minorities.

MINORITY OUTREACH

The City of Little Rock, as a result of its Disparity Study has implemented procedures to increase access to City contracts by minority and women-owned businesses. The procedures include reducing the size and scope of large contracts to increase opportunities for minority and women-owned businesses to promote their usage in non-bidding procedures, such as getting written or verbal quotes to procure goods and services. An additional outreach strategy is the City's Small Business Incubator Service System Administrator, who acts as an advocate for greater participation by minority and women-owned businesses. The CDBG and Housing Office conducts a workshop for minority and women-owned contractors to facilitate their participation in CDBG and HOME-funded projects.

During the 2011 Program Year performance data reflects that eighteen (18) HOME-funded contracts and sixteen (16) HOME-funded subcontracts were completed during the reporting period by minority (MBE) or women-owned businesses (WBE) (see HUD form 40107). In addition three (3) CDBG-funded contracts were completed during the reporting period by minority (MBE) or women-owned businesses (WBE). These statistics verify the City's excellent record of providing opportunities for minority and women contractors in construction projects, however the City must continue to improve in other areas of contracting for services and goods. The Disparity Study revealed the need to increase utilization of minority and women-owned businesses for professional contracts, which will be accomplished by greater outreach efforts when procuring those services.

Annual Performance Report HOME Program

U.S. Department of Housing
and Urban Development
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	Starting 01/01/2011	Ending 12/31/2011	03/02/2012

Part I Participant Identification

1. Participant Number M-11-MC-05-0200	2. Participant Name City of Little Rock		
3. Name of Person completing this report Beverly Arbor	4. Phone Number (Include Area Code) 501-371-6825		
5. Address 500 W. Markham Suite 120W	6. City Little Rock	7. State AR	8. Zip Code 72201

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period \$237,105	2. Amount received during Reporting Period \$12,567	3. Total amount expended during Reporting Period \$31,473	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5 \$218,199
--	--	--	---	--

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
A. Contracts					
1. Number	18			12	6
2. Dollar Amount	\$426,051			\$297,556	\$128,495
B. Sub-Contracts					
1. Number	16			10	2
2. Dollar Amount	\$34,700			\$22,450	\$4,500
	a. Total	b. Women Business Enterprises (WBE)	c. Male		
C. Contracts					
1. Number	18	5	13		
2. Dollar Amount	\$426,051	\$110,097	\$315,954		
D. Sub-Contracts					
1. Number	16	2	14		
2. Dollar Amounts	\$34,700	\$4,300	\$30,400		

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	0					
2. Dollar Amount	\$0					

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost	Minority Business Enterprises (MBE)				f. White Non-Hispanic
			b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Parcels Acquired	0						
2. Businesses Displaced	0						
3. Nonprofit Organizations Displaced	0						
4. Households Temporarily Relocated, not Displaced	0						
Households Displaced	a. Total						
5. Households Displaced - Number	0						
6. Households Displaced - Cost	\$0						

TENANT ASSISTANCE / RELOCATION

The City did not provide any tenant-based rental assistance during the 2011 Program Year. Assistance provided to tenants by the Little Rock Housing Authority is addressed in the Public Housing Resident Initiatives section.

The City did not displace any persons in the 2011 Program Year as a result of programs implemented with CDBG or HOME funding. This action is in keeping with the requirements of the Uniform Relocation Assistance and Real Property Acquisition Act of 1970 (URA)(42 U.S.C. 4201-4655) and 49 CFR Part 24. There were not any projects that resulted in displacement of persons, and the City has implemented safeguards in agreements with other entities to ensure that displacement is minimized. In the event displacement is unavoidable, the City in compliance with its certification, will adhere to all applicable relocation regulations and requirements.

SHORTFALL FUNDS

The City did not utilize any shortfall funds during the 2011 Program Year for HOME-funded projects. All HOME projects completed during the year were funded by HOME funds, matching funds, HOME program income, or other funding resources.

ASSESSMENT OF ANNUAL PERFORMANCE

The City of Little Rock performed well during the 2011 reporting period from January 1, 2011 through December 31, 2011. Through the various housing, public service, infrastructure projects, and homeless assistance programs, the City has provided valuable assistance to renew neighborhoods, provide decent housing, and improve the overall living conditions of Little Rock residents. On-site inspections of housing assisted with HOME Investment Partnership Program funding indicated the continued integrity and quality of the housing as well as the continued housing and affordability benefit to low-income residents. To ensure economic opportunities are given to low to moderate income persons in areas where CDBG or HOME funds are expended, the City of Little Rock encourages all contractors and sub-contractors to follow Section 3, as well as requiring it for all who meet the threshold amount (see HUD form 60002). In addition, lead-based paint training is offered to rehabilitation contractors and their workers free of charge in order that they can fully participate and access grant funded contracting opportunities.

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 0
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Requirements of Section 3 are discussed at pre-con and labor conferences. Sample recruitment letters and other information regarding workforce needs for projects are provided. Section 3 clauses are included in bid/contract documents.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, Searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs as directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 0
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Requirements of Section 3 are discussed at pre-con and labor conferences. Sample recruitment letters and other information regarding workforce needs for projects are provided. Section 3 clauses are included in bid/contract documents.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, Searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs as directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

2011 PERFORMANCE MEASUREMENT ACCOMPLISHMENTS

During the 2011 Program Year, the City of Little Rock assisted 4,136 persons and families through a variety of housing, public service, and infrastructure projects utilizing Community Development Block Grant, HOME Investment Partnerships Program, and Housing Opportunities for Person with AIDS funds. The City's programs assisted the following categories of beneficiaries from January 1, 2011 through December 31, 2011:

2011 PERFORMANCE MEASUREMENT ACCOMPLISHMENTS

Program	No. Assisted	Race						Income		Female Head of Household	Outcome Measures			
		Black	White	Multi-Racial	Hispanic	Asian/Pacific Islander	Native American	Very Low	Low					
Emergency Assistance Grants	15	12	3					15	11	11	Accessibility for the purpose of providing decent housing			
World Changers	16	16						16	12	12	Accessibility for the purpose of providing decent housing			
Leveraged Loans (CDBG&HOME)	4	4						1	3	4	Accessibility for the purpose of providing decent housing			
Elderly Housing Program (CDBG&HOME)	29	25	4					29	21	21	Accessibility for the purpose of providing decent housing			
Save-A-Home (HOME)	0										Affordability for the purpose of providing decent housing			
Wheelchair Ramps	17	11	6					14	3	4	Accessibility for the purpose of providing decent housing			
NW/H Complex: Health Clinic	3874	2512	1139					160	52	11	3305	569	2328	Accessibility for the purpose of creating suitable living environments
West Central Senior Center	30	21	9						30	17				Accessibility for the purpose of creating suitable living environments
<i>Other:</i>														
HOPWA	72	48	23	1				70	2	19				Affordability for the purpose of providing decent housing
Downtown Little Rock CDC	1	1							1	1				Affordability for the purpose of providing decent housing
D&A Doyle Family Limited Partnership	1	1							1	1				Affordability for the purpose of providing decent housing
CareLink	77	67	10						71	6			51	Accessibility for the purpose of creating suitable living environments
Total Assisted	4136	2718	1194	1	160	52	11	3521	615	2469				
Low Income (LI) - 51% - 80% of area median income adjusted for family size														
Very Low Income (VLI) - Income at 50% or below area median adjusted for family size														
<p>The Willie Hinton Neighborhood Resource Center which is located in a low/mod area provides a variety of public service programs. During the 2011 Program Year 5,832 persons utilized the Center.</p> <p>The MLK Legacy Center Facility which is also located in a low/mod area provides a variety of public service programs. During the 2011 Program Year 2,427 utilized the Center.</p> <p>In addition to the City's production indicated above, CDBG funds were utilized on activities such as infrastructure projects, demolition of substandard structures, residential board and secure, partial funding for the development of a Day Resource Center for the homeless. The results, thereby being an increase in property values, reduction of derelict properties and other blighting influences, and an increase in vehicle accessibility, as well as addressing the issues of ending chronic homelessness. All of these activities are explained in further details in appropriate sections throughout this document.</p>														

Table 3A Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
DH-1 Availability/Accessibility of Decent Housing							
DH-1.1	Accessibility for the purpose of providing decent affordable housing	HOME	Total no. of affordable units No. occupied by elderly No. of units brought from substandard to standard condition (HQS or local code)	2011	12	18	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					12	18	
DH-1.2	Accessibility for the purpose of providing decent affordable housing	CDBG	Total no. of affordable units No. occupied by elderly No. of units brought from substandard to standard condition (HQS or local code)	2011	22	46	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					22	46	
DH-2 Affordability of Decent Housing							
DH-2.1	Affordability for the purpose of providing decent affordable housing	HOME	Total no. of affordable units No. of years of affordability No. of households previously living in subsidized housing No. of first-time homebuyers receiving housing counseling No. receiving downpayment assistance/closing costs	2011	7	1	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					7	1	

Table 3A Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
DH-2 Affordability of Decent Housing							
DH-2.2	Affordability for the purpose of providing decent affordable housing	HOME	Total no. of affordable units No. of years of affordability No. of households previously living in subsidized housing No. of first-time homebuyers receiving housing counseling No. receiving downpayment assistance/closing costs No. brought from substandard to standard condition (HQS or local code) No. occupied by elderly	2011	6	0	
				2012			
				2013			
				2014			
				2015			
				MULTI-YEAR GOAL			
DH-2.3	Affordability for the purpose of providing decent affordable housing	HOME	Total no. of affordable units No. of years of affordability No. of households previously living in subsidized housing No. of first-time homebuyers receiving housing counseling No. receiving downpayment assistance/closing costs	2011	3	0	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL				3	3		

Table 3A Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
DH-2 Affordability of Decent Housing							
DH-2.4	Affordability for the purpose of providing decent affordable housing	HOME	Total no. of affordable units No. of years of affordability No. of households previously living in subsidized housing No. of first-time homebuyers receiving housing counseling No. receiving downpayment assistance/closing costs No. occupied by elderly	2011	10	1	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					10	0	
DH-2.5	Affordability for the purpose of providing decent affordable housing	CDBG	Total no. of affordable units No. of years of affordability No. of households previously living in subsidized housing No. of first-time homebuyers receiving housing counseling No. receiving downpayment assistance/closing costs	2011	1	0	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					1	0	

Table 3A Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
DH-2 Affordability of Decent Housing							
DH-2.6	Affordability for the purpose of providing decent affordable housing	HOPWA	Total of persons assisted	2011	50	72	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					50	72	
SL-1 Availability/Accessibility of Suitable Living Environment							
SL-1.1	Accessibility for the purpose of creating suitable living environments	CDBG	Total of persons assisted	2011	2500	3874	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					2500	3874	

Table 3A Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
SL-1.2	Accessibility for the purpose of creating suitable living environments	CDBG	No. of persons assisted	2011	54	77	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					54	77	
SL-1.3	Accessibility for the purpose of creating suitable living environments	CDBG	No. of persons assisted	2011	1000	900	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					1000	900	

Table 3A Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
SL-1.4	Accessibility for the purpose of creating suitable living environments	CDBG	No. of persons assisted	2011	150	0	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					150	0	
SL-1.5	Accessibility for the purpose of creating suitable living environments	CDBG	No. of persons assisted	2011	300	0	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					300	0	

Table 3A Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
SL-3 Sustainability of Suitable Living Environment							
SL-3.1	Sustainability for the purpose of creating suitable living environments	CDBG	No. of persons assisted	2011	870	750	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					870		
SL-3.2	Sustainability for the purpose of creating suitable living environments	CDBG	No. of persons assisted	2011	7000	2427	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					7000	5832	
SL-3.3	Sustainability for the purpose of creating suitable living environments	CDBG	No. of persons assisted	2011	20	30	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					20	30	

Table 3A Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
Specific Annual Objectives							
SL-3	Sustainability of Suitable Living Environment						
SL-3.4	Sustainability for the purpose of creating suitable living environments	CDBG	No. of persons assisted	2011	2500	0	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					2500	0	
SL-3.5	Sustainability for the purpose of creating suitable living environments	CDBG	No. of persons assisted	2011	2500	0	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					2500	0	
SL-3.6	Sustainability for the purpose of creating suitable living environments	CDBG	No. of persons assisted	2011	1667	0	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					1667	0	

Table 3A Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
SL-3 Sustainability of Suitable Living Environment							
SL-3.7	Sustainability for the purpose of creating suitable living environments	CDBG	No. of persons assisted	2011	1205	0	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					1205	0	
SL-3.8	Sustainability for the purpose of creating suitable living environments	CDBG	No. of persons assisted	2011	754	0	
				2012			
				2013			
				2014			
				2015	4		
MULTI-YEAR GOAL					754	0	
SL-3.9	Sustainability for the purpose of creating suitable living environments	CDBG	No. of persons assisted	2011	843	0	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					843	0	

Table 3A Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
SL-3.10	Sustainability for the purpose of creating suitable living environments	CDBG	No. of persons assisted	2011	1927	0	
				2012			
				2013			
				2014			
				2015			
MULTI-YEAR GOAL					1927	0	

**TABLE 3B
ANNUAL HOUSING COMPLETION GOALS**

	Annual Number Expected Units To Be Completed	Resources used during the period			
		CDBG	HOME	ESG	HOPWA
ANNUAL AFFORDABLE HOUSING GOALS (SEC. 215)					
Homeless households		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Non-homeless households	138	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Special needs households		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ANNUAL AFFORDABLE RENTAL HOUSING GOALS (SEC. 215)					
Acquisition of existing units		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units	0	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units	0	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistance	72	<input type="checkbox"/>	<input type="checkbox"/>		<input checked="" type="checkbox"/>
Total Sec. 215 Affordable Rental	72	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
ANNUAL AFFORDABLE OWNER HOUSING GOALS (SEC. 215)					
Acquisition of existing units		<input type="checkbox"/>	<input type="checkbox"/>		
Production of new units	2	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
Rehabilitation of existing units	64	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
Homebuyer Assistance		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Total Sec. 215 Affordable Owner	66	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ANNUAL AFFORDABLE HOUSING GOALS (SEC. 215)					
Acquisition of existing units		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units	2	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units	64	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Homebuyer Assistance		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Total Sec. 215 Affordable Housing	66	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ANNUAL HOUSING GOALS					
Annual Rental Housing Goal	72	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Annual Owner Housing Goal	66	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Total Annual Housing Goal	138	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

APPENDICES

APPENDIX A - DESCRIPTION OF REVIEW PROCESS

The City of Little Rock made the Consolidated Annual Performance and Evaluation Report (CAPER) available to the public and requested comments on accomplishments and beneficiaries outlined in this report. An advertisement was placed in the Arkansas Democrat-Gazette on Sunday, March 11, 2012.

The advertisement gave a description of the CAPER, the information contained in the report, and the purpose of its submission to the U.S. Department of Housing and Urban Development. Additionally, an explanation was provided of the 15-day comment period (March 12 - March 26, 2012), its associated dates, and assurances that all written comments would be reviewed and considered prior to submission of the report to HUD. The expected submission date was published to further inform citizens of the time limits involved in commenting on the contents of the report.

Copies of the CAPER were available for review at the Department of Housing and Neighborhood Programs, CDBG and Housing Division, the main library and branch libraries, and also at all of the Alert Centers throughout the City. Contact information was provided to anyone desiring further information on the report.

APPENDIX B - SUMMARY OF CITIZEN COMMENTS

The City of Little Rock did not receive any citizen comments on the 2011 Consolidated Annual Performance and Evaluation Report (CAPER) during the 15-day comment period from Monday, March 12, 2012 through Monday, March 26, 2012. Also, there were no requests, inquiries, phone calls, or written comments received concerning the 2011 CAPER, its contents, preparation, or submission to the Department of Housing and Urban Development. Therefore, the City of Little Rock, is submitting the 2011 CAPER in its original format with no revisions or changes based on citizen comments.

APPENDIX C - MAP



WATER UTILITY DEPARTMENT
WATER SERVICE DIVISION
2011

CAPER 2011

